

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF IOWA  
EASTERN DIVISION**

Avantax Planning Partners, Inc.; and  
Avantax, Inc.,

Plaintiffs,

vs.

Michael Carignan; and Mariner, LLC, d/b/a  
Mariner Wealth Advisors,

Defendants.

Court File No.: 24-1002

**LOCAL RULE 81 STATEMENT**

Pursuant to Local Rule 81(a), Defendants state:

1. L.R. 81(a)(1). Copies of all process, pleadings and orders filed in the state case:  
Submitted contemporaneously herewith as Exhibit A.

2. L.R. 81(a)(2). A list of all motions pending in the state court which will require  
resolution by this Court: The only matters that were pending in the Dubuque County District  
Court that require resolution were the issues raised in the Plaintiffs' Petition, which as of the  
time of filing this Notice of Removal have not yet been answered.

3. L.R. 81(a)(3). Counsel who have appeared in the state court, their addresses and  
telephone numbers, facsimile numbers and e-mail addresses and the party they represent:

Attorneys for Plaintiffs:

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4. L.R. 81(a)(4). Inapplicable.

SIMMONS PERRINE MOYER BERGMAN PLC

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ATTORNEYS FOR DEFENDANTS

**CERTIFICATE OF SERVICE**

I hereby certify that on January 11, 2024, I filed the foregoing with the Clerk of Court using the ECF system, which will send notification of said filing to the following:

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